

"Express Mail" Label No. EV326934421 US
Date of Deposit Sept. 12, 2003

PATENT
Attorney Docket No.: 020204-001410US

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Address" service under 37 CFR 1.10 on the date indicated above and is addressed to:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

By: David L. McNeill

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Robert K. Rowe *et al.*

Application No.: Not yet assigned

Filed: Herewith

For: APPARATUS AND METHOD FOR
IDENTIFICATION OF INDIVIDUALS
BY NEAR-INFRARED SPECTRUM

Customer No.: 20350

Examiner: Not yet assigned

Technology Center/Art Unit: Not yet
assigned

PRELIMINARY AMENDMENT

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Prior to examination of the above-referenced application, please enter the following amendments and remarks:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 7 of this paper.